# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Washington, D.C. 20349
FORM 6-K
Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 under the Securities Exchange Act of 1934
For the month of October, 2021
Commission File Number 001-39670
PURETECH HEALTH PLC (Translation of registrant's name into English)
6 Tide Street, Suite 400 Boston, Massachusetts 02210 (Address of principal executive office)
Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.
Form 20-F ⊠ Form 40-F □
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):  $\Box$ 

#### INFORMATION CONTAINED IN THIS REPORT ON FORM 6-K

On October 12, 2021, PureTech Health plc (LSE: PRTC, Nasdaq: PRTC) (the "Company") issued a press release announcing that an application had been made to the United Kingdom Financial Conduct Authority and the London Stock Exchange for admission to the Premium segment of the Official List and to trading on the London Stock Exchange of a block listing of 2,000,000 ordinary shares, with such shares to be reserved under the block listing and issued by the Company from time to time solely pursuant to the Company's Performance Share Plan and for no other purpose.

The press release is furnished herewith as Exhibit 99.1 and is incorporated by reference herein.

## **Exhibits**

99.1 Press Release of PureTech Health plc, dated October 12, 2021, titled "Block Listing Application."

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

# PURETECH HEALTH PLC

Date: October 12, 2021 By: /s/ Daphne Zohar

Name: Daphne Zohar

Title: Chief Executive Officer

#### PureTech Health plc Block Listing Application

PureTech Health plc (Nasdaq: PRTC, LSE: PRTC) ("PureTech" or "the Company") announces that an application has been made to the Financial Conduct Authority and the London Stock Exchange for admission to (i) the Premium segment of the Official List and (ii) to trading on the London Stock Exchange for a block listing of 2,000,000 ordinary shares of 1 pence each (the "Ordinary Shares").

The Ordinary Shares are being reserved under a block listing and will be issued from time to time solely pursuant to the PureTech Performance Share Plan and for no other purpose.

It is expected that admission of the Ordinary Shares will become effective on 14 October 2021. The Ordinary Shares will rank pari passu in all respects with the Company's existing ordinary shares in issue.

#### **About PureTech Health**

PureTech is a clinical-stage biotherapeutics company dedicated to discovering, developing and commercializing highly differentiated medicines for devastating diseases, including inflammatory, fibrotic and immunological conditions, intractable cancers, lymphatic and gastrointestinal diseases and neurological and neuropsychological disorders, among others. The Company has created a broad and deep pipeline through the expertise of its experienced research and development team and its extensive network of scientists, clinicians and industry leaders. This pipeline, which is being advanced both internally and through PureTech's Founded Entities, is comprised of 25 therapeutics and therapeutic candidates, including two that have received both U.S. FDA clearance and European marketing authorization, as of the date of PureTech's most recently filed Half Year Report and corresponding Form 6-K. All of the underlying programs and platforms that resulted in this pipeline of therapeutic candidates were initially identified or discovered and then advanced by the PureTech team through key validation points based on the Company's unique insights into the biology of the brain, immune and gut, or BIG, systems and the interface between those systems, referred to as the BIG Axis.

For more information, visit www.puretechhealth.com or connect with us on Twitter @puretechh.

## **Cautionary Note Regarding Forward-Looking Statements**

This press release contains forward-looking statements within the meaning of the Private Securities Litigation Reform Act of 1995. All statements contained in this press release that do not relate to matters of historical fact should be considered forward-looking statements, including without limitation statements that relate to the expected admission of the block listing of ordinary shares. The forward-looking statements are based on current expectations and are subject to known and unknown risks, uncertainties and other important factors that could cause actual results, performance and achievements to differ materially from current expectations, including, but not limited to, those risks, uncertainties and other important factors described under the caption "Risk Factors" in our Annual Report on Form 20-F for the year ended December 31, 2020 filed with the SEC and in our other regulatory filings. These forward-looking statements are based on assumptions regarding the present and future business strategies of the Company and the environment in which it will operate in the future. Each forward-looking statement speaks only as at the date of this press release.

Except as required by law and regulatory requirements, we disclaim any obligation to update or revise these forward-looking statements, whether as a result of new information, future events or otherwise.

# **Contact:**

# **PureTech Health**

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